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J1055 U.S. PTO

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PTO/SB/05 (03-01)  
For use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **BIT006**  
First Inventor **Shun Hang Luk**  
Title **Network Storage Device Having Solid-State Non-Volatile Memory**  
Express Mail Label No. **EL716113959US**

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **33**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **5**]
5. Oath or Declaration [Total Pages **3**]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 19 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney See Declaration  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: **Bank Draft for \$494.00**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label  or ☒ Correspondence address below

Name **BITMICRO Networks, Inc.**  
**45550 Northport Loop East**  
Address  
City **Fremont** State **CA** Zip Code **94538**  
Country **USA** Telephone **510.623.2341** Fax **510.623.2342**

Name (Print/Type) **Stephen R. Uriarte** Registration No. (Attorney/Agent) **40,854**  
Signature  Date **July 6, 2001**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 494.00

## Complete if Known

Application Number Not Yet Assigned  
Filing Date July 6, 2001  
First Named Inventor Shun Hang Luk  
Examiner Name Not Yet Assigned  
Group Art Unit Not Yet Assigned  
Attorney Docket No. BIT006

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
710	201	Utility filing fee	355
320	206	Design filing fee	
490	207	Plant filing fee	
710	208	Reissue filing fee	
150	214	Provisional filing fee	

SUBTOTAL (1) (\$ 355

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
31	-20** = 11	9	99
4	-3** = 1	40	40
			139

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
18	203	Claims in excess of 20
80	202	Independent claims in excess of 3
270	204	Multiple dependent claim, if not paid
80	209	** Reissue independent claims over original patent
18	210	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 355

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
130	205	Surcharge - late filing fee or oath	
50	227	Surcharge - late provisional filing fee or cover sheet	
130	139	Non-English specification	
2,520	147	For filing a request for ex parte reexamination	
920*	112	Requesting publication of SIR prior to Examiner action	
1,840*	113	Requesting publication of SIR after Examiner action	
110	215	Extension for reply within first month	
390	216	Extension for reply within second month	
890	217	Extension for reply within third month	
1,390	218	Extension for reply within fourth month	
1,890	228	Extension for reply within fifth month	
310	219	Notice of Appeal	
310	220	Filing a brief in support of an appeal	
270	221	Request for oral hearing	
1,510	138	Petition to institute a public use proceeding	
110	240	Petition to revive - unavoidable	
1,240	241	Petition to revive - unintentional	
1,240	242	Utility issue fee (or reissue)	
440	243	Design issue fee	
600	244	Plant issue fee	
130	122	Petitions to the Commissioner	
50	123	Processing fee under 37 CFR 1.17(q)	
180	126	Submission of Information Disclosure Stmt	
40	581	Recording each patent assignment per property (times number of properties)	
710	246	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	249	For each additional invention to be examined (37 CFR § 1.129(b))	
710	279	Request for Continued Examination (RCE)	
900	169	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0.00

## SUBMITTED BY -

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Stephen R. Uriarte	40,854	510.743.3130
Signature	Date	July 6, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Shun Hang Luk		
Title	Network Storage Device Having Solid-State Non-Volatile Memory		
Atty Docket Number	BiT006		

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 6, 2001

Date



Signature

Stephen R. Uriarte, Reg. No. 40,854

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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